



## Authorized Trading Officer

**D**

TELL US ABOUT  
the authorized trading officer

If some other person will have authority over, or financial interest, in this account, please complete the following information. This includes Authorized Trading Officers, sole owners, partners, executors and trustees. If there is an additional Authorized Trading Officer, please complete the enclosed "Additional Authorized Trading Officer" form. **There is a maximum of two Authorized Trading Officers per account.** Please contact BMO InvestorLine or visit our web site for additional forms.

<b>Preferred Language:</b> <input type="checkbox"/> English <input type="checkbox"/> French		Citizenship	Country of Residence
<b>Account Characteristic(s):</b> <b>You are completing this form as:</b>		<input type="checkbox"/> Pro* <input type="checkbox"/> Authorized Trading Officer <input type="checkbox"/> Sole Owner	<input type="checkbox"/> BMO Staff <input type="checkbox"/> Beneficial Owner (own greater than 10% interest, directly or indirectly in the account)
Title	Last Name	First Name	
Home Address (number, street)			Suite No.
City or Town		Prov.	Postal Code
Primary Phone (area code, no.)		Secondary Phone (area code, no., ext.)	
Fax No.	Other Daytime Phone		Email
Mailing Address if different from above			Suite No.
City or Town		Prov.	Postal Code
Marital Status	No. of Dependants	Date of Birth (YY/MM/DD)	Occupation
SIN	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Employer Name	
Type of Business		Job Description	

**E**

FINANCIAL  
information

Bank Name	
Transit Number	Account Number
Bank Address	
Address Continued	

**F**

FOR OPTIONS  
account applications

- Number of years trading in options:
- How would you describe your options trading knowledge?:  Expert  Knowledgeable  Limited  None
- Experience with:  None  Long Calls or Puts  Covered  Naked  Spreads
- Please indicate what type of options trading you would like to do:  Long Calls or Puts  Covered  Spreads

I have received the Risk Disclosure Statement for Futures and Options (Section Three, Part G of the Client Agreements booklet). I understand the special risks pertaining to trading in options and that BMO InvestorLine Inc. is not registered to trade in futures. I declare that I have adequate financial resources to sustain any such transaction in which I participate.

Authorized Trading Officer's Signature \_\_\_\_\_ Date YY/MM/DD

**G**

PLEASE PROVIDE DETAILS  
if you answer YES to the  
following questions

- Are you, or someone you live with, a senior officer or director of a company whose shares are traded on an exchange quotation system or in over-the-counter (OTC) markets?  
 Yes Company Name(s): \_\_\_\_\_  
 No
- Do you, or someone you live with, individually or as part of a group, own 10% or more of a public company?  
 Yes Company Name: \_\_\_\_\_  
 No
- Do you have, or exercise authority over, any brokerage accounts with other financial institutions?  
 Yes Financial Institution(s): \_\_\_\_\_  
 No Account Type: \_\_\_\_\_ Account Type: \_\_\_\_\_
- Do you have, or exercise authority over, any accounts with BMO InvestorLine?  
 Yes Account #1: \_\_\_\_\_ Account #2: \_\_\_\_\_  
 No



