



**B**  
WITH your security in mind

Please create a temporary password, which must be 6 letters and/or numbers. When you sign in to your account the first time through our automated systems, you will be asked to change this temporary password.

Password for your Account:

**C**  
FINANCIAL information

Please round to the nearest dollar.

Annual Income from all sources \_\_\_\_\_ Bank Name \_\_\_\_\_

Net Liquid Assets (A) (Cash & Securities less loans outstanding against securities) \_\_\_\_\_ Transit Number \_\_\_\_\_ Account Number \_\_\_\_\_

Net Fixed Assets (B) (Fixed assets less liabilities outstanding against fixed assets) \_\_\_\_\_ Bank Address \_\_\_\_\_

Estimated Net Worth (C) (C = A + B) \_\_\_\_\_ Address \_\_\_\_\_ Continued \_\_\_\_\_

Source of Funds \_\_\_\_\_ Intended use of the Account \_\_\_\_\_

**D**  
PLEASE PROVIDE DETAILS if you answer YES to the following questions

1. Are you, or someone you live with, a senior officer or director of a company whose shares are traded on an exchange quotation system or in over-the-counter (OTC) markets?  
 Yes Company Name(s): \_\_\_\_\_  
 No

2. Do you, or someone you live with, individually or as part of a group, own 10% or more of a public company?  
 Yes Company Name: \_\_\_\_\_  
 No

3. Do you have, or exercise authority over, any brokerage accounts with other financial institutions?  
 Yes Financial Institution(s): \_\_\_\_\_  
 No Account Type: \_\_\_\_\_ Account Type: \_\_\_\_\_

4. Do you have, or exercise authority over, any accounts with BMO InvestorLine?  
 Yes Account #1: \_\_\_\_\_ Account #2: \_\_\_\_\_  
 No

5. Will any other person have authority over, or any financial interest, in your account(s)? If another person will have authority over your account(s), please complete the enclosed "Authorized Trading Agent or Power of Attorney" form.  
 Yes Name: \_\_\_\_\_  
 No  
 If yes \_\_\_\_\_ % of Financial Interest

**E**  
FOR OPTIONS account applications

1. Number of years trading in options: \_\_\_\_\_

2. How would you describe your options trading knowledge?:  
 Expert  Knowledgeable  Limited  None

3. Experience with:  
 None  Long Calls or Puts  Covered  Naked  
 Spreads

4. Please indicate what type of options trading you would like to do:  Long Calls or Puts  Covered  Spreads

**I have received the Risk Disclosure Statement for Futures and Options (Section Four, Part G of the Client Agreements booklet). I understand the special risks pertaining to trading in options and that BMO InvestorLine Inc. is not registered to trade in futures. I declare that I have adequate financial resources to sustain any such transaction in which I participate.**

Applicant's Signature \_\_\_\_\_ Date YY/MM/DD \_\_\_\_\_

**F**  
FOR YOUR investment account

By opening an investment account, you will automatically receive benefits from our AccountLink® service.<sup>1</sup> This service allows you to combine your investment and banking activities all in one account. A starter cheque kit will be mailed to you once the account is opened. If you do not have an existing relationship with BMO Bank of Montreal, an AccountLink card will be mailed to you. If you have an existing relationship with BMO Bank of Montreal,<sup>2</sup> please provide us with the following information:

Card # \_\_\_\_\_ and connect the account as:  Primary Chequing  Primary Savings  Other<sup>3</sup> \_\_\_\_\_

I also wish to have the U.S. Dollar AccountLink service to be able to bank in U.S. funds.  
<sup>1</sup>Refer to Section Four, Part F of your Client Agreements booklet. <sup>2</sup>FirstBank Card® or BMO Bank of Montreal MasterCard. <sup>3</sup>If connected as an Other account, access is restricted to BMO Bank of Montreal Instabank machines. If Other, choose alpha reference or designate a number 1 through 9.



**ORDER EXECUTION ONLY ACCOUNT ACKNOWLEDGEMENT**

I acknowledge that BMO InvestorLine Inc. does not give personal or client specific or tailored investment advice or recommendations to me and does not accept any responsibility to advise me on the suitability of any of my investment decisions or transactions. I acknowledge that I am responsible for any investment decisions as well as for any profits or losses that may result. I further acknowledge that it is my obligation to comply with the requirements of the Toronto Stock Exchange respecting entry and trading of orders and that BMO InvestorLine Inc. reserves the right to reject, change or remove any order which I may enter or to cancel any trade resulting from an order which I entered which is not in compliance with the requirements of the Toronto Stock Exchange.

Applicant's  
Signature \_\_\_\_\_

Date  
YY/MM/DD

**CARRYING BROKER INFORMATION**

I acknowledge that I have been advised that BMO InvestorLine Inc. is an Introducing Broker and BMO Nesbitt Burns Inc. is a Carrying Broker for my account. BMO InvestorLine Inc. is responsible for all compliance requirements for my account. For accounting and regulatory purposes, I am considered a client of BMO Nesbitt Burns Inc. BMO Nesbitt Burns Inc. is responsible for trade execution and settlement, custody of securities and the preparation of confirmations and account statements. Client cash balances in non-registered accounts are held by BMO Bank of Montreal, and client cash balances in registered accounts are held by BMO Trust Company.

**PROTECTION OF YOUR PRIVACY**

We are committed to protecting all of the personal information you share with us in order to maintain your privacy. It is our top priority to respect and uphold your need for confidentiality. The information we gather is used to verify your identity and protect you and BMO InvestorLine against fraud, to set up and manage products and services you have requested and to satisfy the regulatory obligations of our industry.

- I consent that BMO InvestorLine Inc. and BMO Financial Group may share information with each other in relation to my account so that I may have access to any financial opportunity that may arise from time to time.
- I do not consent to the sharing of information between BMO InvestorLine Inc. and BMO Financial Group with relation to my account.

By signing below, I acknowledge that from time to time, BMO InvestorLine may send me information, including direct marketing messages, in order to better understand my needs and make me aware of appropriate products and services. I am also aware that my SIN may be used for administrative and tax reporting purposes.

I may change my preferences stated above at any time by contacting BMO InvestorLine. The servicing of my account as per this agreement is in no way conditional or dependent on my preferences. I acknowledge that I cannot opt out of sharing my personal information where I have requested a product or service that is offered jointly by BMO InvestorLine and another member of BMO Financial Group.

Applicant's  
Signature \_\_\_\_\_

Date  
YY/MM/DD

Please provide a photocopy of 2 pieces of ID, one of which must be photo ID. If you already have a BMO InvestorLine account and/or a BMO Bank of Montreal account, write your SIN and account number(s) on the photocopy. If you do not have a BMO InvestorLine account or a BMO Bank of Montreal account, write your SIN on the photocopy and enclose a cheque drawn on a Canadian bank.