BMO Wealth Management
BMO InvestorLine

Order Execution Only Account

Welcome to BMO InvestorLine

BMO INVESTORLINE CO-APPLICANT ACCOUNT INFORMATION

Reset Form

You are completing this form as a joint investment account. With the exception of accounts operating in the Province of Quebec, all BMO InvestorLine joint accounts are Joint With Rights of Survivorship. Please refer to the Client Agreements for details.

A TELL US ABOUT THE CO APPLICANT for investment accounts only

Please note it will take us longer to process your paper requests. For a quicker turnaround, we recommend completing online forms. You can access online account applications at bmo.com/self-directed
U.S. persons will need to complete a W-9 form. Please contact BMO InvestorLine or visit our web site for this form.

Citizenship

Country of Residence

Please enter your name exactly as it appears on your government-issued photo ID.

Title

Last Name

First Name

Preferred Name (If other than your legal name) (Optional)

Last Name

First Name

Middle Initial

Home Address (no., street) if different from applicant

Suite No.

City or Town

Prov.

Postal Code

Primary Phone (area code, no.)

Secondary Phone (area code, no.)

Ext.

Email

Marital Status

Mailing Address if different from above

Suite No.

City or Town

Prov.

Postal Code

Date of Birth (YY/MM/DD)

Relationship to Applicant

Residency for Tax purposes (Check all that apply)

☐ Canada (You must be a resident of Canada to open a BMO InvestorLine account) Social Insurance Number (required by Canada Revenue Agency)

☐ U.S. (Including U.S. Citizen) Tax Identification Number (please provide a reason if Tax Identification Number is missing)

☐ Other (please specify) Tax Identification Number (please provide a reason if Tax Identification Number is missing)

☐ Other (please specify) Tax Identification Number (please provide a reason if Tax Identification Number is missing)

Reasons for missing Tax Identification Number (TIN):

☐ 1. I have applied for a TIN but have not yet received one.

☐ 2. My jurisdiction of tax residence does not issue TINs to its residents.

☐ 3. Other (please provide details)

Employment Status ☐ Full-time (30 hours or more per week) ☐ Part-time (Less than 30 hours per week) ☐ Self employed ☐ Unemployed ☐ Retired ☐ Casual/Contract ☐ Seasonal

Occupation

Employer Name

Industry

Employer's Address (number, street)

Suite No.

City or Town

Prov.

Postal Code

Employer's Phone Number

B CO APPLICANT'S spouse or common-law partner

Please omit this section if the Co-applicant's spouse or common-law partner is the Applicant.

Title

Last Name

First Name

Occupation

Employer Name

Industry

®Registered trade-marks of Bank of Montreal, used under licence.
BMO InvestorLine Inc. is a wholly owned subsidiary of Bank of Montreal.
Member – Canadian Investor Protection Fund and Member of the Canadian Investment Regulatory Organization.

C FINANCIAL information

Please round to the nearest dollar.

Annual Income from all sources

Net Liquid Assets (A)
(Cash & Securities less loans outstanding against securities)

Net Fixed Assets (B)
(Fixed assets less liabilities outstanding against fixed assets)

Estimated Net Worth (C)
(C=A+B)

Please provide your BMO Banking Information (if applicable).

BMO Transit Number

BMO Account Number

BMO Bank Address

Address Continued

Source of Annual Income
☐ Employment income ☐ Student loans/bursaries or RESP ☐ Unemployment benefits ☐ Social assistance ☐ Alimony (spousal support)
☐ Retirement income ☐ Inheritance ☐ Real estate investment ☐ Investment in securities ☐ Other

Funding Your Account
☐ Saving of employment income ☐ Real estate investment
☐ Investment in securities ☐ Gifts ☐ Other

Intended use of the Account
☐ Short Term Investment ☐ Long Term Investment ☐ Income Generation ☐ Savings
☐ Retirement Savings ☐ Education Savings ☐ Estate Planning ☐ Other

D FOR OPTIONS account applications

CO-APPLICANT'S INFORMATION

1. Number of years trading in options:
2. How would you describe your options trading knowledge? ☐ Expert ☐ Knowledgeable ☐ Limited ☐ None
3. Experience with: ☐ None ☐ Long Calls or Puts ☐ Covered ☐ Naked ☐ Spreads

I have received the Risk Disclosure Statement for Futures and Options (Section Four, Part G of the Client Agreement). I understand the special risks pertaining to trading in options and that BMO InvestorLine Inc. is not registered to trade in futures. I declare that I have adequate financial resources to sustain any such transaction in which I participate.

Co-applicant's Signature

Date YY/MM/DD

E PLEASE PROVIDE DETAILS if you answer YES to the following questions

CO-APPLICANT'S INFORMATION

1. Are you, or your spouse/common-law partner:
   a. An insider, director or senior officer (i.e. an officer or one of the five highest paid employees) of a publicly traded (exchange or over-the-counter) company or affiliate of such a company?
   Or
   Individually, or as part of a group, own more than 10% of the voting rights attached to all voting securities?
   ☐ Yes
   ☐ No Company Name(s):
   If yes, are you a Reporting Insider under Canadian securities legislation?
   ☐ Yes
   ☐ No Company Name(s):
   b. Separately or in combination with other persons, a holder of more than 20% of the outstanding voting securities of a publicly traded (exchange or over-the-counter) company or affiliate of such a company?
   ☐ Yes
   ☐ No Company Name(s):
   c. Individually, or as part of a group, a member with controlling interest in a publicly traded (exchange or over-the-counter) company or affiliate of such a company?
   ☐ Yes
   ☐ No Company Name(s):
2. Do you have, or exercise authority over, any brokerage accounts with other financial institutions?
   ☐ Yes Financial institution(s):
   ☐ No Account Type: Account Type:
3. Do you have, or exercise authority over, any accounts with BMO InvestorLine?
   ☐ Yes
   ☐ No Account #1: Account #2:
4. Will any other person have authority over, or any financial interest in, your account(s)? If another person will have authority over your account(s), please complete our "Authorized Trading Agent or Power of Attorney" form.
   ☐ Yes
   ☐ No Name:
5. Will anyone other than yourself use or direct transactions in this account? *This excludes those authorized to give instructions about the account, i.e., Joint Account Holder, Trading Agent, Power of Attorney and Trustee.*
   ☐ Yes If yes, please complete the "Third Party Information" form. Please contact BMO InvestorLine for this form.
   ☐ No
6. Do you want to add a trusted contact person?
   If ever we think your account may be at risk for fraud, have concerns about your mental capacity, or need to confirm details about you or your legal representative, we may get in touch with your TCP. They'll have the authority to confirm details about you, but won't be able to make decisions or transact on your account.
   ☐ Yes If yes, please complete our "Trusted Contact Person" form.
   ☐ No

F SIGNATURE FOR ALL ACCOUNTS

NATIONAL INSTRUMENT 54-101 – SHAREHOLDER COMMUNICATION INFORMATION

We are required under Canadian securities law to obtain your instructions concerning the various matters below relating to your holding of securities in your account.

Part 1 – Disclosure of Beneficial Ownership Information

Part 2 – Receiving Securityholder Materials

Part 3 – Preferred Language of Communication

Part 4 – Consent to Electronic Delivery

Please read the National Instrument 54-101, Communication with Beneficial Owners of Securities of a Reporting Issuer, in Section Four, Part C of the Client Agreements. Please discuss your options with the other beneficial owner(s) on the account(s) and provide your instructions on the Account Application Form.

Co-applicant's
Signature

Date
YY/MM/DD

ORDER EXECUTION ONLY ACCOUNT ACKNOWLEDGEMENT

I acknowledge that BMO InvestorLine Inc. does not give personal or client specific or tailored investment advice or recommendations to me and does not accept any responsibility to advise me on the suitability of any of my investment decisions or transactions, including, in particular, BMO InvestorLine Inc. has no responsibility to consider my current financial situation, investment knowledge, investment objectives and time horizon, risk profile, investment portfolio composition and risk level, nor other similar factors. I acknowledge that I am responsible for any investment decisions as well as for any profits or losses that may result. In addition, I acknowledge that BMO InvestorLine Inc. will not be responsible for making a determination that the products and account types offered by BMO InvestorLine inc. are appropriate for me. I further acknowledge that it is my obligation to comply with the requirements of the requirements of securities exchanges respecting entry and trading of orders and that BMO InvestorLine Inc. reserves the right to reject, change or remove any order which I may enter or to cancel any trade resulting from an order which I entered which is not in compliance with the requirements of the securities exchanges I acknowledge that BMO InvestorLine Inc. does not give personal or client specific or tailored investment advice or recommendations to me and does not accept any responsibility to advise me on the suitability of any of my investment decisions or transactions. I acknowledge that I am responsible for any investment decisions or transactions. I acknowledge that I am responsible for any investment decisions as well as for any profits or losses that may result. I further acknowledge that it is my obligation to comply with the requirements of the Toronto Stock Exchange respecting entry and trading of orders and that BMO InvestorLine Inc. reserves the right to reject, change or remove any order which I may enter or to cancel any trade resulting from an order which I entered which is not in compliance with the requirements of the Toronto Stock Exchange.

Co-applicant's
Signature

Date
YY/MM/DD

CARRYING BROKER INFORMATION

I acknowledge that I have been advised that BMO InvestorLine Inc. is an Introducing Broker and BMO Nesbitt Burns Inc. is a Carrying Broker for my account. BMO InvestorLine Inc. is responsible for all compliance requirements for my account.
For accounting and regulatory purposes, I am considered a client of BMO Nesbitt Burns Inc. BMO Nesbitt Burns Inc. is responsible for trade execution and settlement, custody of securities and the preparation of confirmations and account statements. Client cash balances in non-registered accounts are held by BMO Bank of Montreal, and client cash balances in registered accounts are held by BMO Trust Company.

PROTECTION OF YOUR PRIVACY

(Arrangements will be based on the Applicant's instructions.)
We are committed to protecting all of the personal information you share with us in order to maintain your privacy. It is our top priority to respect and uphold your need for confidentiality. The information we gather is used to verify your identity and protect you and BMO InvestorLine against fraud, to set up and manage products and services you have requested and to satisfy the regulatory obligations of our industry.

You agree that, at the time you begin a relationship with us and during the course of our relationship, we may collect, use and disclose your information for the purpose of, but not limited to, the requirement to: identify you, provide ongoing service, understand your financial needs, protect us both from fraud and error, comply with legal and regulatory requirements, and market products and services to you.

PRIVACY DISCLOSURE AND CONSENT - YOUR PERSONAL INFORMATION

To learn more about how we collect, use, disclose and safeguard your Personal Information, your choices, and the rights you have, please see our Privacy Code (available at bmo.com/privacy, or from any of our branches).

What is Personal Information?

Your Personal Information is information about you that you provided to us or information we collected from other sources such as credit reporting agencies, and includes your name, address, age, financial data, Social Insurance Number, employment information, and other information that could be used to identify you.

Why do we need your Personal Information?

We collect and use your Personal Information to:

- Verify your identity;
- Ensure we have accurate information about you;
- Understand your financial needs (including your eligibility for products and services you requested or accepted or were pre-approved for)
- To manage our relationship;
- Protect against fraud and manage other risks;
- Communicate with you regarding products and services that may be of interest;
- Understand our customers, including through analytics, and to develop and tailor our products and services;
- Comply with legal or regulatory requirements, or as permitted by law; and
- Respond to questions you may have.

We will also use your Personal Information to make decisions in real time by using tools to automate the processing of your Personal Information, for example, whether to approve or decline a trade and expedite the opening of your account. These decisions can affect the products, prices, services or features we may offer you and are also used to protect you from fraud.

If we use your Personal Information for a different purpose, we will identify that purpose.

Sharing your Personal Information

BMO Financial Group consists of Bank of Montreal and its affiliates. Your Personal Information, including information about your authorized representatives and beneficiaries, is shared within BMO Financial Group, to the extent permitted by law, to:

- Ensure we have accurate information about you, and your authorized representatives and beneficiaries;
- Manage our total relationship;
- Provide a better customer experience;
- Meet your needs as they change and grow; and
- Manage our business.

Your Choices

With your optional consent, BMO InvestorLine will also share account-specific information within BMO Financial Group for the purposes described above. This choice only applies to BMO InvestorLine and will apply to all of your BMO InvestorLine accounts unless you later opt out. You can opt out of sharing account specific information by other BMO Financial Group entities. See our Privacy Code for a list of BMO Financial Group entities and for more information on how to opt-out.

Please check one option:

☐ I consent ☐ I DO NOT consent

to BMO InvestorLine sharing information in relation to my account(s) within BMO Financial Group. I understand that I cannot opt out of sharing Personal Information between two or more BMO Financial Group affiliates that provide me with a jointly offered product or service.

BMO Financial Group direct marketing preferences

Direct Marketing is our communication with you such as mail, telemarketing or email using the contact information you have provided, to inform you about products and services that we think may be of interest and value to you. Your consent is not required for us to communicate with you regarding products or services that you currently have, including improved ways to use the products, or additional features of the products as well as transactional information.

Please check one option:

☐ I consent ☐ I DO NOT consent

to receive direct marketing materials from BMO InvestorLine or other members of BMO Financial Group.

I acknowledge that BMO InvestorLine may pay to, or receive from, certain other members of BMO Financial Group a referral fee and that a schedule of these fees and related terms is available upon request and is also included in the Client Agreement. If you consent to sharing of information, we (or if BMO InvestorLine is not the Referring Entity) may disclose information about you to the Receiving Entity in order to make the referral and allow for the ongoing administration of the referral. The word"information" means financial and financially-related information about you, including information to identify you for products and services or information needed for regulatory requirements.

Co-applicant's
Signature

Date
YY/MM/DD

SHARED PREMISES DISCLOSURE

I/we acknowledge that, for my/our securities transactions, I/we am/will be dealing with BMO InvestorLine Inc., a Member of the Canadian Investment Regulatory Organization (CIRO) and Member of the Canadian Investor Protection Fund (CIPF).

BMO InvestorLine Inc. may share office space with the following separate but affiliated entities:

- Bank of Montreal offering banking and financial services.
- BMO Investments Inc., a subsidiary of Bank of Montreal Holding Inc., offering mutual fund products by registered mutual fund representatives, and in Quebec, by registered financial planners.
- BMO Nesbitt Burns Inc., a wholly owned subsidiary of BMO Bank of Montreal offering full service advisory services.
- Nesbitt Burns Securities Limited, a wholly owned subsidiary of BMO Nesbitt Burns Inc., offering services to US residents as a US registered Broker-Dealer and Investment Adviser.
- BMO Estate Insurance Advisory Services Inc., a wholly owned subsidiary of BMO Nesbitt Burns offering insurance products by licensed life insurance agents, and in Quebec, by financial security advisors.
- BMO Private Investment Counsel Inc., a wholly owned subsidiary of BMO Nesbitt Burns Inc., offering trading and advising in securities and derivatives by registered individuals.

F SIGNATURE FOR ALL ACCOUNTS (continued)

- BMO Trust Company, a wholly owned subsidiary of Bank of Montreal, offering estate, trust, planning and custodial services. Je reconnais (nous reconnaissons) avoir lu et compris la présente divulgation et je comprends (nous comprenons) que les locaux sont partagés.

I/we acknowledge that I/we have read and understood the disclosure, and that I/we understand that these are shared premises.

Co-applicant's
Signature

Date
YY/MM/DD

PERSONAL AND CREDIT INFORMATION AUTHORIZATION

I/We authorize BMO InvestorLine to obtain personal and credit information from a credit reporting company and within BMO Financial Group to verify my identity and prevent theft or fraud.

Co-applicant's
Signature

Date
YY/MM/DD

G SIGNATURE for all investment accounts

By requesting the opening of either a cash investment account, or an account granted margin facility, I/we certify that the information in this application is true and complete and I have received the Client Agreements (https://www.bmoinvestorline.com/selfDirected/pdfs/ClientAgreements_SD_E.pdf), Conflicts of Interest Statement (https://www.bmoinvestorline.com/General_Info/ConflictsOfInterest.pdf), Relationship Disclosure document (https://www.bmoinvestorline.com/selfDirected/pdfs/RelationshipDisclosure.pdf), and Commission and Fee Schedule (https://www.bmoinvestorline.com/selfDirected/pdfs/SDFeeSchedule_E.pdf). In addition to having reviewed these documents, I agree to the terms and conditions outlined in the Client Agreements, Conflicts of Interest Statement, Relationship Disclosure document and Commission and Fee Schedule. I/we concur that the AccountLink service is appropriate for my/our needs and financial circumstances. I/we consent to be enrolled in either the CAD Dollar AccountLink service or the USD Dollar AccountLink service.

For Quebec Clients Only: The client acknowledges receipt of the French version of this agreement. It is the express wish of the parties, who hereby accept, that this agreement and all related documents, notices and other communications be in English. Le client reconnaît avoir reçu la présente convention en français https://www.bmoinvestorline.com/selfDirected/pdfs/CoApplicantAccountInformation_FR.pdf. Les parties aux présentes ont expressément exigé, et acceptent, que la présente convention, tous les documents qui y sont afférents et tous les avis et autres communications entre les parties soient rédigés en langue anglaise.

Certification: I certify that the tax information given on this form is correct and complete. I will notify BMO InvestorLine Inc. within 30 days of any change in circumstances that causes the information on this form to become incomplete or inaccurate.

Co-applicant's
Signature

Date
YY/MM/DD

H SIGNATURE for margin accounts only

I/We hereby apply to be granted a margin facility with respect to the account(s) selected in this application as being a "Margin Account". I/We certify that: i) I am capable of evaluating and bearing the financial risks inherent in borrowing on and use of margin to finance the buying of securities; and (ii) I/We understand and agree to the terms and conditions governing the use of Margin.

Co-applicant's
Signature

Date
YY/MM/DD

Please provide a verified photocopy of 1 piece of Federal, Provincial or Territorial government issued photo ID.