

Welcome to BMO InvestorLine BMO INVESTORLINE Corporate, Trust, Estate and Non-Personal Account Application

A ACCOUNT DETAILS

If you are applying for: Please complete:
☐ A new account All sections
☐ Updating an existing account Only the relevant sections

Account Numbers:

Preferred Language: ☐ English ☐ French

Application Type: ☐ Partnership ☐ Corporation ☐ Investment Club ☐ Estate ☐ Trust ☐ Charitable Organization ☐ Not for Profit Org.

Account Type Request: ☐ Cash ☐ Margin ☐ Margin with Options ☐ Margin with Short Selling (All investment accounts operate in both U.S. and Canadian Dollars.)

Account Characteristic(s): ☐ Pro* ☐ BMO Staff

*You are considered to be a Pro if you, or someone you live with, is employed with an CRO member firm or related company. Please provide a letter of confirmation from the member firm's Compliance department authorizing you to be the Authorized Trading Officer for this account.

B A FEW DETAILS about the entity

Legal (registered) name of
Business or Organization

Registered Trade Name
(if different from above)

Industry

Legal Address (jurisdiction
where registered)

Suite
No.

City or
Town

Prov.

Postal
Code

Contact's position
at the company

Contact's
Title

Last
Name

First
Name

Send Mail to

Suite
No.

City or
Town

☐ Legal Address

Prov.

Postal
Code

Business Phone
(area code, no. ext.)

Place of Incorporation/Registration
(Not Required for Estate or Trust)

City

Prov.

Date of Incorporation/Registration
(Not required for Estate or Trust)

(YY/MM/DD)

Residency for Tax purposes (Check all that apply)

☐ Canada (You must be a resident of Canada to open
a BMO InvestorLine account)

Business/Trust/CRA Registration Number

Quebec NEQ
Number

☐ U.S.

Tax Identification Number

(please provide a reason if Tax Identification Number is missing)

☐ Other (please specify)

Tax Identification Number

(please provide a reason if Tax Identification Number is missing)

☐ Other (please specify)

Tax Identification Number

(please provide a reason if Tax Identification Number is missing)

Reasons for missing Tax Identification Number (TIN):

☐ 1. I have applied for a TIN but have not yet received one.

☐ 2. My jurisdiction of tax residence does not issue TINs to its residents.

☐ 3. Other (please provide details)

Please include a photocopy of the Corporate Profile for your business or organization.

C BENEFICIAL OWNERSHIP of business or organization (check the appropriate box)

Beneficial Ownership information IS NOT being provided for this entity (or affiliate) because it is:

☐ A publicly traded company, or a publicly traded trust

Security Symbol:

Exchange:

☐ A Bank, Caisse Populaire, Credit Union, Insurance company, Investment Manager, Trustor Loan company, Mutual Fund, Mutual Fund Management company, Pension Fund, Securities Dealer or Broker, or similar financial entity subject to a satisfactory regime.

Regulator Name:

☐ An Estate

☐ A Government-owned corporation, such as a Canadian government body (provincial, federal department or crown agency, incorporated municipal body) or a Canadian Public Hospital, or a subsidiary thereof where the financial statements are consolidated.

☐ A not-for-profit organization, church or union

Beneficial Ownership information is being provided for this entity (or affiliate) because it is:

☐ The client is a Trust and the information is being provided in respect to the Settlor or Creator of the Trust AND any beneficiaries (regardless of their % of interest in the trust).

Please indicate the number of individuals who are a settlor, creator or beneficiary of the trust:

Please complete a "Beneficial Information" form for each individual identified above and proof of identity verification (where applicable).

☐ An entity other than those listed above.

Please indicate the number of individuals who own, or exercise direct or indirect control over, 25% or more interest of the entity:

Please complete a "Beneficial Information" form for each individual identified above and proof of identity verification (where applicable).

D ENTITY operations

Does the entity (including parent company or subsidiaries, have, or intend to have, operations, or conduct business, outside of Canada or the U.S.?

☐ Yes ☐ No

If yes, please list all countries:

Business Operations include, but are not limited to: any physical presence in a foreign country as evidence by having a product or service facility, an office, a storefront, a sales force, etc.; an account or conduct of business with a foreign financial institution; dealings with a foreign government body or official.

E ENTITY activity

What is your **Primary Business Activity** of the business or organization listed above?

The **Primary Business Activities** – Please list and provide a brief description:

Does the business or organization listed above (or affiliate) conduct any of the following activities?

Operate as a:

Registered Charity ☐ Please provide your CRA Charity Registration Number

Not for Profit Organization ☐ Does our organization solicit financial donations from the public?

☐ Yes ☐ No

Operate a **Money Service Business**?

☐ Yes ☐ No

If yes, do you have an existing account with the BMO Financial Group?

☐ Yes ☐ No

If yes, please provide your account number:

Operate a **Cheque Cashing/Payday Lending/Short Term Lenders Business**?

☐ Yes ☐ No

Operate, lease or maintain a **White Label Banking Machine**?

☐ Yes ☐ No

Buy or sell or deal in Precious Metals and Stones (Cutters/Polishers/Refiners/Smelters/Intermediate Dealers & Brokers/Retailers)?

☐ Yes ☐ No

Operate as a Gambling service provider (Casinos/Sports Betting Operators/Animal Sporting Facilities/Bingo Halls, and establishments with Video Lottery Terminals)- including internet gambling?

☐ Yes ☐ No

Sell **used cars, boats or airplanes**?

☐ Yes ☐ No

Operate as an **Arms Manufacturer, Dealer or Intermediary**?

☐ Yes ☐ No

Operate as an exchange/exchanger of Virtual Currency (Users, Network Facilitators, Exchangers, Administrators, Custodians)?

☐ Yes ☐ No

Operate as a **Cannabis License Holder/Applicant or Parent Company/Holding Company/Subsidiary** of any license holder/applicant?

☐ Yes ☐ No

Operate a **Telemarketing / Direct Marketing** business (sell goods/services via telephone or the Internet)?

☐ Yes ☐ No

Operate a **Pawn broker service**?

☐ Yes ☐ No

Operate an account for a **Foreign Government** (e.g. Embassy or Consulate)?

☐ Yes ☐ No

Name of country:

Operate an account for any of the following formed outside of Canada or U.S.:

Trust, Private Investment Company, or Personal Holding Company?

☐ Yes ☐ No

Name of country:

Operate a **Correspondent Bank**?

☐ Yes ☐ No

Operate a **Shell Bank**?

☐ Yes ☐ No

Do you have the intention to issue or have you previously issued **physical Bearer Shares certificates**?

☐ Yes ☐ No

Operate as Third Party Payment Processors?

☐ Yes ☐ No

Operate in Adult Entertainment Industry?

☐ Yes ☐ No

Operate as Dealer and Intermediaries of High Value Goods (Art/Antiquities/Vintage Alcohol) &/or Auction Houses?

☐ Yes ☐ No

Operate Alternate Money Remittance Systems/Informal Value of Transfer Systems (e.g., Hawala, Hundi, Chitti, Fei Ch'ien, Poey Kuan)?

☐ Yes ☐ No

Operate as a Crowdfunding Service Provider?

☐ Yes ☐ No

Operate as a Financial Institution (e.g. A Bank, Central Bank, Credit Union, Insurance or Trust Company, Hedge or Private Equity Fund, Securities Broker Dealer, Mutual Funds or Pension Funds)?

☐ Yes ☐ No

Operate as a Mortgage Broker, Real Estate Developer/Agency/Brokerage?

☐ Yes ☐ No

Operate as a Non-Bank Financial Institution?

☐ Yes ☐ No

Operate as an Accounting Firm, Law Firm or British Columbia Notary?

☐ Yes ☐ No

Operate as a Ransomware Service Provider?

☐ Yes ☐ No

Operate as an unregulated Lender or Businesses involved in Leasing and Financing?

☐ Yes ☐ No

F ENTITY Status Self Certification

1. Is the entity a specified U.S. Entity (organized or incorporated in the U.S.)?

☐ No.

☐ Yes. If yes, please provide the U.S. Taxpayer Identification Number (TIN)

2. Is the entity a Financial Institution (FI)?

☐ No. If no, please select the option that best describes the non-financial entity:

☐ A corporation with shares that regularly trade on an established securities market.

☐ A government, central bank or international organization (or an agency of one).

☐ The entity is an active trade or business (less than 50% of its gross income is passive income and less than 50% of its assets produce passive income) or another type of active non-financial entity not listed.

☐ Passive not-financial entity (more than 50% of income is passive or investment income, more than 50% of assets are used to generate passive or investment income)>

☐ Yes. If yes, please complete both section A and B below.

A. Select the option that best describes the financial Institution (FI):

☐ Reporting Canadian FI or Partner Jurisdiction FI Global Intermediary Identification Number (GIIN):

☐ Participating Foreign FI Global Intermediary Identification Number (GIIN):

☐ Registered Deemed Compliant FI Global Intermediary Identification Number (GIIN):

☐ Non-Reporting Canadian or Partner Jurisdiction FI

☐ Certified Deemed Compliant FI

☐ Non-Participating FI

B. Does the financial institution (FI) meet all of the following criteria?

☐ No ☐ Yes

1. It is a resident of a non-participating jurisdiction (for a list of participating jurisdiction visit www.cra.gc.ca)

2. At least 50% of its gross income is from investing or trading in financial assets.

3. It is managed another financial institution.

Please note: For more information on the Canada Revenue Agency (CRA) Enhanced Financial Account Information Reporting, the U.S. Foreign Account Tax Compliance Act (FATCA) and the Common Reporting Standard (CRS) please refer to the CRA website or consult your legal or tax advisor.

G FINANCIAL information of the business or organization

Please round to the nearest dollar.

Annual revenue
from all sources

Net Liquid Assets (A)

(Cash & Securities less loans
outstanding against securities)

Net fixed Assets (B)

(Fixed assets less liabilities
outstanding against fixed assets)

Estimated Net Worth (C)

(C=A+B)

Funding Your
Account

☐ Business income or revenue

☐ Working capital

☐ Real estate investments

☐ Investment in securities

☐ Royalties

☐ Other

Intended use
of the Account

☐ Short Term Investment

☐ Long Term Investment

☐ Income Generation

☐ Savings

☐ Other

Do you have a Platinum Banking account with BMO Private Banking?

☐ Yes ☐ No

☐ BMO Private Bank Transit & Account number same as above OR

Please provide your BMO Banking Information (if applicable).

BMO Transit
Number

BMO Account
Number

BMO Bank Address

Address Continued

Transit
Number

Account
Number

H WITH your security in mind

Please create a temporary password, which must be 6 letters and/or numbers. When you sign in to your account the first time through our automated systems, you will be asked to change this temporary password.

Password for
your Account:

I TELL US ABOUT the authorized trading officer

If some other person will have authority over, or financial interest in, this account, please complete the following information. This includes Authorized Trading Officers, partners, executors and trustees. If there is an additional Authorized Trading Officer, please complete the "Additional Authorized Trading Officer" form. **There is a maximum of two Authorized Officers** per account. Please contact BMO InvestorLine or visit our website for additional forms.

Preferred Language:

☐ English ☐ French

Citizenship

Country of Residence

Account Characteristic(s):

☐ Pro

☐ BMO Staff

☐ Authorized Trading Officer

You are completing
this form as:

Title
Last Name

First
Name

Please enter your name exactly as it appears on your government-issued photo ID.

Primary

Residence Address

Suite
No.

(No., street, P.O. Box address is not allowed)

City or
Town

Prov.

Postal
Code

Primary Phone
(area code, no.)

Secondary Phone
(area code, no.)

Ext.

Email

Mailing Address
if different from above

Suite
No.

City or
Town

Prov.

Postal
Code

Marital
Status

Date of Birth
(YY/MM/DD)

SIN

Employment Status ☐ Full-time (30 hours or more per week)
☐ Retired

☐ Part-time (Less than 30 hours per week)

☐ Self employed

☐ Unemployed

☐ Casual/Contract

☐ Seasonal

Occupation

Employer
Name

Employer's
Phone Number

Employer's Address
(number, street)

Suite
No.

City or
Town

Prov.

Postal
Code

Industry

J FINANCIAL information

Please provide your personal BMO Banking Information (if applicable).

BMO Transit
Number

BMO Account
Number

BMO Bank Address

Address Continued

K FOR OPTIONS account applications

1. Number of years trading in options:

2. How would you describe your options trading knowledge?

☐ Expert

☐ Knowledgeable

☐ Limited

☐ None

3. Experience with:

☐ None

☐ Long Calls or Puts

☐ Covered

☐ Naked

☐ Spreads

4. Please indicate what type of options trading you would like to do:

☐ Long Calls or Puts

☐ Covered

☐ Spreads

I have received the Risk Disclosure Statement for Futures and Options (Section Four, Part G of the Client Agreement). I understand the special risks pertaining to trading in options and that BMO InvestorLine Inc. is not registered to trade in futures. I declare that I have adequate financial resources to sustain any such transaction in which I participate.

Authorized Trading
Officer's Signature

Date
YY/MM/DD

L PROTECTION of your privacy

You agree that, at the time you begin a relationship with us and during the course of our relationship, we may collect, use and disclose your information for the purpose of, but not limited to, the requirement to: identify you, provide ongoing service, understand your financial needs, protect us both from fraud and error, comply with legal and regulatory requirements, and market products and services to you.

Authorized Trading Officer's
Signature

Date
YY/MM/DD

M SIGNATURE for all investment accounts

By requesting the opening of either a cash investment account, or an account granted margin facility, I/we certify that the information in this application is true and complete and I have received the Client Agreements (https://www.bmoinvestorline.com/selfDirected/pdfs/ClientAgreements_SD_E.pdf), Conflicts of Interest Statement (https://www.bmoinvestorline.com/General_Info/ConflictsOfInterest.pdf), Relationship Disclosure document (<https://www.bmoinvestorline.com/selfDirected/pdfs/RelationshipDisclosure.pdf>), and Commission and Fee Schedule (https://www.bmoinvestorline.com/selfDirected/pdfs/SDFeeSchedule_E.pdf). In addition to having reviewed these documents, I agree to the terms and conditions outlined in the Client Agreements, Conflicts of Interest Statement, Relationship Disclosure document and Commission and Fee Schedule. I/we concur that the AccountLink service is appropriate for my/our needs and financial circumstances. I/we consent to be enrolled in either the CAD Dollar AccountLink service or the USD Dollar AccountLink service.

Certification: I am an authorized signing officer of this entity and I certify that the tax information given on this form is correct and complete. I will notify BMO InvestorLine Inc within 30 days of any change in circumstances that causes the information on this form to become inaccurate or incomplete.

For Quebec Clients Only: The client acknowledges receipt of the French version of this agreement. It is the express wish of the parties, who hereby accept, that this agreement and all related documents, notices, and other communications be in English. Le client reconnaît avoir reçu la présente convention en français (http://www.bmoinvestorline.com/selfDirected/pdfs/NonPersonalAccountApplication_FR.pdf). Les parties aux présentes ont expressément exigé, et acceptent, que la présente convention, tous les documents qui y sont afférents et tous les avis et autres communications entre les parties soient rédigés en langue anglaise.

Authorized Trading Officer's
Signature

Date
YY/MM/DD

N SIGNATURE for margin accounts only

I/We hereby apply to be granted a margin facility with respect to the account(s) selected in this application as being a "Margin Account". I/We certify that: i) I am capable of evaluating and bearing the financial risks inherent in borrowing on and use of margin to finance the buying of securities; and (ii) I/We understand and agree to the terms and conditions governing the use of Margin.

Authorized Trading Officer's
Signature

Date
YY/MM/DD

O PLEASE PROVIDE DETAILS if you answer YES to the following questions

1. Are you, or your spouse/common-law partner:

- a. An insider, director or senior officer (i.e. an officer or one of the five highest paid employees) of a publicly traded (exchange or over-the-counter) company or affiliate of such a company?
Or
Individually, or as part of a group, own more than 10% of the voting rights attached to all voting securities?

☐ Yes

☐ No Company Name(s):

If yes, are you a Reporting Insider under Canadian securities legislation?

☐ Yes

☐ No Company Name(s):

- b. Separately or in combination with other persons, a holder of more than 20% of the outstanding voting securities of a publicly traded (exchange or over-the-counter) company or affiliate of such a company?

☐ Yes

☐ No Company Name(s):

- c. Individually, or as part of a group, a member with controlling interest in a publicly traded (exchange or over-the-counter) company or affiliate of such a company?

☐ Yes

☐ No Company Name(s):

2. Do you have, or exercise authority over, any brokerage accounts with other financial institutions?

☐ Yes Financial institution(s):

☐ No Account Type:

Account Type:

3. Do you have, or exercise authority over, any accounts with BMO InvestorLine?

☐ Yes

☐ No Account #1:

Account #2:

4. Will any other person have authority over, or any financial interest in, your account(s)? If another person will have authority over your account(s), please complete our "Authorized Trading Agent or Power of Attorney" form

☐ Yes

☐ No Name:

5. Will anyone other than yourself use or direct transactions in this account? *This excludes those authorized to give instructions about the account, i.e., Authorized Trading Officer, Partner, Executor or Trustee.*

☐ Yes

If yes, please complete the "Third Party Information" form. Please contact BMO InvestorLine for this form.

☐ No

P NOW TELL US about your spouse or common-law partner

Please omit this section if your spouse or common-law partner is an Authorized Trading Officer

Title	Last Name	First Name	Init.
Occupation		Employer Name	
Industry		Job Description	
Employer's Address			Employer's Phone Number

Q ACCOUNTLINK service

This service cannot be used as a commercial bank account.

BMO InvestorLine provides you with either a CAD or U.S. Dollar AccountLink Service AccountLink® service¹, which gives you the ability to use a BMO debit card to easily access the cash available in your BMO InvestorLine account. This service allows you to combine your investment and banking activities all in one account. If you do not have an existing relationship with BMO Bank of Montreal, an AccountLink card will be mailed to you.

If you have an existing relationship with BMO Bank of Montreal,² please provide us with the following information:

Card # _____ and connect the account as:
☐ Primary ☐ Chequing ☐ Primary Savings ☐ Other³

☐ I also wish to have the U.S. Dollar AccountLink service to be able to bank in U.S. funds.

¹Refer to Section Three, Part F of your Client Agreements. ²FirstBank Card® or BMO Bank of Montreal MasterCard. ³If connected as an Other account, access is restricted to BMO Bank of Montreal Instabank machines. If Other, choose alpha reference or designate a number 1 through 9.

R SIGNATURES FOR ALL ACCOUNTS

NATIONAL INSTRUMENT 54-101 – SHAREHOLDER COMMUNICATION INFORMATION

We are required under Canadian securities laws to obtain your instructions concerning the various matters below relating to your holding of securities in your account. Please read the National Instrument 54-101, Communication with Beneficial Owners of Securities of a Reporting Issuer, in Section Four, Part C of the Client Agreements.

Part 1 – Disclosure of Beneficial Ownership Information

For purposes of Canadian securities laws, you may disclose my name, address, email, securities holdings and preferred language of communication (English or French) to issuers of securities I hold with you and to other persons or companies in accordance with Canadian securities law.

☐ Yes ☐ No

Note: if you answer “No”, you will be responsible for any costs associated with providing shareholder materials to you.

Part 2 – Receiving Securityholder Materials

For the purposes of Canadian securities laws, please mark the corresponding box to show what materials you want to receive. Securityholder materials sent to beneficial owners of securities consist of the following materials: a) proxy-related materials for annual and special meetings; b) annual reports and financial statements that are not part of proxy-related materials; and c) materials sent to securityholders that are not required by corporate or securities law to be sent.

☐ I WANT to receive ALL securityholder materials sent to beneficial owners of securities.

☐ I DECLINE to receive ALL securityholder materials sent to beneficial owners of securities. (Even if I decline to receive these types of materials, I understand that a reporting issuer or other person or company is entitled to send these materials to me at its expense).

☐ I WANT to receive ONLY proxy-related materials that are sent in connection with a special meeting.

Important Note: These instructions do not apply to any specific request you give or may have given to a reporting issuer concerning the sending of interim financial statements of the reporting issuer. In addition, in some circumstances, the instructions you give in this application form will not apply to annual reports or financial statements of an investments fund that are not part of proxy-related materials. An investment fund is entitled to obtain specific instructions from you on whether you wish to receive its annual report or financial statements.

Part 3 – Preferred Language of Communication

I understand that the materials I receive will be in my preferred language of communication if the materials are available in that language.

Part 4 – Consent to Electronic Delivery

Canadian securities law permits us to deliver some documents by electronic means if we obtain your consent.

☐ I CONSENT to receiving documents by electronic means and have provided my email in section A of the application.

☐ I DO NOT CONSENT to receiving documents by electronic means.

On behalf of the beneficial owner(s) of the account(s) opened from this application, I have read and understand the explanation that you have provided me in connection with the National Instrument 54-101, Communication with Beneficial Owners of Securities of a Reporting Issuer. The choices I have indicated above apply to all of the securities held in the account(s).

A monthly \$2.00 fee per account, plus applicable taxes, will apply for mail delivery of paper statements.

Authorized Trading
Officer's Signature

Date
YY/MM/DD

ORDER EXECUTION ONLY ACCOUNT ACKNOWLEDGEMENT

I acknowledge that BMO InvestorLine Inc. does not give personal or client specific or tailored investment advice or recommendations to me and does not accept any responsibility to advise me on the suitability of any of my investment decisions or transactions, including, in particular, BMO InvestorLine Inc. has no responsibility to consider my current financial situation, investment knowledge, investment objectives and time horizon, risk profile, investment portfolio composition and risk level, nor other similar factors. I acknowledge that I am responsible for any

investment decisions as well as for any profits or losses that may result. In addition, I acknowledge that BMO InvestorLine Inc. will not be responsible for making a determination that the products and account types offered by BMO InvestorLine Inc. are appropriate for me. I further acknowledge that it is my obligation to comply with the requirements of the requirements of securities exchanges respecting entry and trading of orders and that BMO InvestorLine Inc. reserves the right to reject, change or remove any order which I may enter or to cancel any trade resulting from an order which I entered which is not in compliance with the requirements of the securities exchanges I acknowledge that BMO InvestorLine Inc. does not give personal or client specific or tailored investment advice or recommendations to me and does not accept any responsibility to advise me on the suitability of any of my investment decisions or transactions. I acknowledge that I am responsible for any investment decisions as well as for any profits or losses that may result. I further acknowledge that it is my obligation to comply with the requirements of the Toronto Stock Exchange respecting entry and trading of orders and that BMO InvestorLine Inc. reserves the right to reject, change or remove any order which I may enter or to cancel any trade resulting from an order which I entered which is not in compliance with the requirements of the Toronto Stock Exchange.

Authorized Trading
Officer's Signature

Date
YY/MM/DD

PRIVACY DISCLOSURE AND CONSENT - YOUR PERSONAL INFORMATION

To learn more about how we collect, use, disclose and safeguard your Personal Information, your choices, and the rights you have, please see our Privacy Code (available at bmo.com/privacy, or from any of our branches).

What is Personal Information?

Your Personal Information is information about you that you provided to us or information we collected from other sources such as credit reporting agencies, and includes your name, address, age, financial data, Social Insurance Number, employment information, and other information that could be used to identify you.

Why do we need your Personal Information?

We collect and use your Personal Information to:

- Verify your identity;
- Ensure we have accurate information about you;
- Understand your financial needs (including your eligibility for products and services you requested or accepted or were pre-approved for)
- To manage our relationship;
- Protect against fraud and manage other risks;
- Communicate with you regarding products and services that may be of interest;
- Understand our customers, including through analytics, and to develop and tailor our products and services;
- Comply with legal or regulatory requirements, or as permitted by law; and
- Respond to questions you may have.

We will also use your Personal Information to make decisions in real time by using tools to automate the processing of your Personal Information, for example, whether to approve or decline a trade. These decisions can affect the products, prices, services or features we may offer you and are also used to protect you from fraud.

If we use your Personal Information for a different purpose, we will identify that purpose.

Sharing your Personal Information

BMO Financial Group consists of Bank of Montreal and its affiliates. Your Personal Information, including information about your authorized representatives and beneficiaries, is shared within BMO Financial Group, to the extent permitted by law, to:

- Ensure we have accurate information about you, and your authorized representatives and beneficiaries;
- Manage our total relationship;
- Provide a better customer experience;
- Meet your needs as they change and grow; and
- Manage our business.

Your Choices

With your optional consent, BMO InvestorLine will also share account-specific information within BMO Financial Group for the purposes described above. This choice only applies to BMO InvestorLine and will apply to all of your BMO InvestorLine

R SIGNATURES FOR ALL ACCOUNTS (continued)

accounts unless you later opt out. You can opt out of sharing account-specific information by other BMO Financial Group entities. See our Privacy Code for a list of BMO Financial Group entities and for more information on how to opt-out.

Please check one option:

☐ I consent ☐ I DO NOT consent

to BMO InvestorLine sharing information in relation to my account(s) within BMO Financial Group. I understand that I cannot opt out of sharing Personal Information between two or more BMO Financial Group affiliates that provide me with a jointly offered product or service.

BMO Financial Group direct marketing preferences

Direct Marketing is our communication with you such as mail, telemarketing or email using the contact information you have provided, to inform you about products and services that we think may be of interest and value to you. Your consent is not required for us to communicate with you regarding products or services that you currently have, including improved ways to use the products, or additional features of the products as well as transactional information.

Please check one option:

☐ I consent ☐ I DO NOT consent

to receive direct marketing materials from BMO InvestorLine or other members of BMO Financial Group.

I acknowledge that BMO InvestorLine may pay to, or receive from, certain other members of BMO Financial Group a referral fee and that a schedule of these fees and related terms is available upon request and is also included in the Client Agreement. If you consent to sharing of information, we (or if BMO InvestorLine is not the Referring Entity) may disclose information about you to the Receiving Entity in order to make the referral and allow for the ongoing administration of the referral. The word "information" means financial and financially-related information about you, including information to identify you for products and services or information needed for regulatory requirements.

Authorized Trading
Officer's Signature

Date
YY/MM/DD

CARRYING BROKER INFORMATION

I acknowledge that I have been advised that BMO InvestorLine Inc. is an Introducing Broker and BMO Nesbitt Burns Inc. is a Carrying Broker for my account. BMO InvestorLine Inc. is responsible for all compliance requirements for my account. For accounting and regulatory purposes, I am considered a client of BMO Nesbitt Burns Inc. BMO Nesbitt Burns Inc. is responsible for trade execution and settlement, custody of securities and the preparation of confirmations and account statements. Client cash balances in non-registered accounts are held by BMO Bank of Montreal, and client cash balances in registered accounts are held by BMO Trust Company.

BMO SELF CERTIFICATION – W8 TREATY

I certify that:

- A. The Entity, a resident of Canada, meets all provisions of the Canada-U.S. Tax Convention that are necessary to claim a reduced rate of withholding, including any limitation on benefits provisions, and derives the income within the meaning of section 894 of the Internal Revenue Service Income Tax Code, and the regulations thereunder, as the beneficial owner.

Either B or C will apply to you. Please select one or the other:

- B. The "Entity" meets the requirements of the limitation on benefits provisions in the Canada-U.S. Tax Convention on the basis that one of the following categories applies (please check only one box below, not applicable to Investment Clubs and Partnerships):

- ☐ Company or trust (including small private companies and holding companies) that meet the ownership and base erosion test
- ☐ Estate resident in Canada
- ☐ Government
- ☐ Tax exempt pension trust or pension fund
- ☐ Other tax exempt organization
- ☐ Publicly traded corporation
- ☐ Subsidiary of a publicly traded corporation
- ☐ Company that meets the derivative benefits test
- ☐ Company with an item of income that meets active trade or business test
- ☐ Favorable discretionary determination by the U.S. competent authority received
- ☐ No LOB article in treaty
- ☐ Other (please specify Article and paragraph):

- C. The beneficial owner is claiming treaty benefits for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation and meets qualified resident status.

Special rates and conditions:

The beneficial owner is claiming the provisions of Article _____ and paragraph _____ of the treaty identified in item A above to _____ % rate of withholding on (specify type of income):

Explain the additional conditions in the Article the beneficial owner meets to be eligible for the rate of withholding:

Certification:

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on this form is the beneficial owner of all the income or proceeds to which this form relates, is using this form to certify its status for chapter 4 purposes, or is submitting this form for purposes of section 6050W or 6050Y;
- The entity identified on this form is not a U.S. person;
- This form relates to: (a) income not effectively connected with the conduct of a trade or business in the United States, (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an income tax treaty, (c) the partner's share of a partnership's effectively connected taxable income, or (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f); and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity identified in this form is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity identified in this form is the beneficial owner.

I agree that I will submit a new form within 30 days if any certification on this form is incorrect.

☐ I certify that I have the capacity to sign for the entity identified under section B of this form.

Authorized Trading
Officer's Signature

Date
YY/MM/DD

SHARED PREMISES DISCLOSURE

I/we acknowledge that, for my/our securities transactions, I/we am/will be dealing with BMO InvestorLine Inc., a Canadian Industry Regulatory Organization (CIRO) and Member of the Canadian Investor Protection Fund (CIPF).

BMO InvestorLine Inc. may share office space with the following separate but affiliated entities:

- Bank of Montreal offering banking and financial services.
- BMO Investments Inc., a subsidiary of Bank of Montreal Holding Inc., offering mutual fund products by registered mutual fund representatives, and in Quebec, by registered financial planners.
- BMO Nesbitt Burns Inc., a wholly owned subsidiary of BMO Bank of Montreal offering full service advisory services.
- Nesbitt Burns Securities Limited, a wholly owned subsidiary of BMO Nesbitt Burns Inc., offering services to US residents as a US registered Broker-Dealer and Investment Adviser.
- BMO Estate Insurance Advisory Services Inc., a wholly owned subsidiary of BMO Nesbitt Burns offering insurance products by licensed life insurance agents, and in Quebec, by financial security advisors.
- BMO Private Investment Counsel Inc., a wholly owned subsidiary of BMO Nesbitt Burns Inc., offering trading and advising in securities and derivatives by registered individuals.
- BMO Trust Company, a wholly owned subsidiary of Bank of Montreal, offering estate, trust, planning and custodial services.

I/we acknowledge that I/we have read and understood the disclosure, and that I/we understand that these are shared premises.

Authorized Trading
Officer's Signature

Date
YY/MM/DD

PERSONAL AND CREDIT INFORMATION AUTHORIZATION

I/We authorize BMO InvestorLine to obtain personal and credit information from a credit reporting company and within BMO Financial Group to verify my identity and prevent theft or fraud.

Authorized Trading
Officer's Signature

Date
YY/MM/DD

Please provide a verified photocopy of 1 piece of Federal, Provincial or Territorial government issued photo ID.

BRANCH INFORMATION

I have verified all and a photocopy of a valid photo ID.

Name of Branch Representative (please print)

Phone
(include area
code no., ext.)

BRANCH REFERRAL

FSM Name
(please print)

FSM
EIN #

Transit
Number

NBIA:

Harris Private
Bank:

IFS: