BMO InvestorLine

Order Execution Only Account

Reset Form

MATERIAL CHANGE FORM

To ensure continuous access to your account(s), please submit the fully completed, dated, and signed original.

A INFORMATION ABOUT YOU (please print clearly)

Account #1: Transaction #

Related Accounts #2 #3 #4

Title Last name First name Initials

Preferred Name (If other than your legal name) (Optional)

Last Name First name Middle Initial

Primary Residence Address Suite No.

(No., street, P.O. Box address is not allowed)

City or Town Prov. Postal Code Country

Marital Status Home Phone (area code, no.) Business Phone (area code, no.)

Citizenship Email Address

Residency for Tax purposes (Check all that apply)

☐ Canada (You must be a resident of Canada to open a BMO InvestorLine account) Social Insurance Number (required by Canada Revenue Agency)

☐ U.S.(including U.S. citizen) Tax Identification Number (please provide a reason if Tax Identification Number is missing)

☐ Other (please specify) Tax Identification Number (please provide a reason if Tax Identification Number is missing)

☐ Other (please specify) Tax Identification Number (please provide a reason if Tax Identification Number is missing)

Reasons for missing Tax Identification Number (TIN):

☐ 1. I have applied for a TIN but have not yet received one. ☐ 2. My jurisdiction of tax residence does not issue TINs to its residents.

☐ 3. Other (please provide details)

If the country of your primary residence does not match with one of your tax residencies listed on this form, please either add the country of primary residence as a tax residence OR in the section below provide an explanation for why you should not be considered as a tax resident in the country of your primary residence. A lack of a reasonable explanation may cause your account to be reportable as a foreign resident account holder to the Canada Revenue Agency (CRA):

B ABOUT YOUR BUSINESS

☐ Pro ☐ BMO Staff

Employment Status ☐ Full-time (30 hours or more per week) ☐ Part-time (Less than 30 hours per week) ☐ Self employed ☐ Unemployed ☐ Retired ☐ Casual/Contract ☐ Seasonal

Occupation Employer Name

Industry Job Title

Employer's Address

Employer's Phone Number

1. Are you, or your spouse/common-law partner:
   a. An insider, director or senior officer (i.e. an officer or one of the five highest paid employees) of a publicly traded (exchange or over-the-counter) company or affiliate of such a company?
   Or
   Individually, or as part of a group, own more than 10% of the voting rights attached to all voting securities?
   ☐ Yes
   ☐ No Company Name(s)
   If yes, are you a Reporting Insider under Canadian securities legislation?
   ☐ Yes
   ☐ No Company Name(s)
   b. Separately or in combination with other persons, a holder of more than 20% of the outstanding voting securities of a publicly traded (exchange or over-the-counter) company or affiliate of such a company?
   ☐ Yes
   ☐ No Company Name(s)
   c. Individually, or as part of a group, a member with controlling interest in a publicly traded (exchange or over-the-counter) company or affiliate of such a company?
   ☐ Yes
   ☐ No Company Name(s)
2. Do you have, or exercise authority over, any brokerage accounts with other financial institutions?
   ☐ Yes Financial institution(s):
   ☐ No Account Type:
3. Do you have, or exercise authority over, any accounts with BMO InvestorLine?
   ☐ Yes
   ☐ No Account #1: Account #2:

B ABOUT YOUR BUSINESS (continued)

4. Will any other person have authority over, or any fnancial interest in, your account(s)? If another person will have authority over your account(s), please complete our "Authorized Trading Agent or Power of Attorney" form.

☐ Yes
☐ No Name:

5. Will anyone other than yourself use or direct transactions in this account? *This excludes those authorized to give instructions about the account, i.e., Joint Account Holder, Trading Agent, Power of Attorney and Trustee.*

☐ Yes If yes, please complete the "Third Party Information"form. Please contact BMO InvestorLine for this form.
☐ No

6. Do you want to add a trusted contact person?

If ever we think your account may be at risk for fraud, have concerns about your mental capacity, or need to confirm details about you or your legal representative, we may get in touch with your TCP. They'll have the authority to confirm details about you, but won't be able to make decisions or transact on your account.

☐ Yes If yes, please complete our "Trusted Contact Person" form.
☐ No

C SPOUSE or common-law partner information

Please omit this section if the Applicant's spouse or common-law partner is the Co-applicant. Co-applicants must complete their own material change form if their information has changed.

Title Last Name First Name

Occupation Employer Name

Industry

☐ Pro* ☐ BMO Staff

*You are considered to be a Pro if you, or someone you live with, is employed with an CIRO member firm or related company. Please provide a letter of confirmation from the member firm's Compliance department authorizing this account(s).

D FINANCIAL information

Please round to the nearest dollar.

Annual Income from all sources

Net Liquid Assets (A)
(Cash & Securities less loans outstanding against securities)

Net fixed Assets (B)
(Fixed assets less liabilities outstanding against fixed assets)

Estimated Net Worth (C)
(C=A+B)

Source of Annual Income ☐ Employment income ☐ Student loans/bursaries or RESP ☐ Unemployment benefits ☐ Social assistance ☐ Alimony (spousal support) ☐ Retirement income ☐ Inheritance ☐ Real estate investment ☐ Investment in securities ☐ Other

Intended use of the Account ☐ Short Term Investment ☐ Long Term Investment ☐ Income Generation ☐ Savings ☐ Retirement Savings ☐ Education Savings ☐ Estate Planning ☐ Other

E SIGNATURE

Privacy Disclosure and Consent - Your Personal Information

To learn more about how we collect, use, disclose and safeguard your Personal Information, your choices, and the rights you have, please see our Privacy Code (available at bmo.com/privacy, or from any of our branches).

What is Personal Information?

Your Personal Information is information about you that you provided to us or information we collected from other sources such as credit reporting agencies, and includes your name, address, age, financial data, Social Insurance Number, employment information, and other information that could be used to identify you.

Why do we need your Personal Information?

We collect and use your Personal Information to:

- Verify your identity;
- Ensure we have accurate information about you;
- Understand your financial needs (including your eligibility for products and services you requested or accepted or were pre-approved for)
- To manage our relationship;
- Protect against fraud and manage other risks;
- Communicate with you regarding products and services that may be of interest;
- Understand our customers, including through analytics, and to develop and tailor our products and services;
- Comply with legal or regulatory requirements, or as permitted by law; and
- Respond to questions you may have.

We will also use your Personal Information to make decisions in real time by using tools to automate the processing of your Personal Information, for example, whether to approve or decline a trade. These decisions can affect the products, prices, services or features we may offer you and are also used to protect you from fraud.

If we use your Personal Information for a different purpose, we will identify that purpose.

E SIGNATURE (continued)

Sharing your Personal Information

BMO Financial Group consists of Bank of Montreal and its affiliates. Your Personal Information, including information about your authorized representatives and beneficiaries, is shared within BMO Financial Group, to the extent permitted by law, to:

- Ensure we have accurate information about you, and your authorized representatives and beneficiaries;
- Manage our total relationship;
- Provide a better customer experience;
- Meet your needs as they change and grow; and
- Manage our business.

I certify that the information on this form is true and complete; the information on this form shall supersede information previously provided; and the terms and conditions of my Client Account Agreement remain in effect.

Certification: I certify that the tax information given on this form is correct and complete. I will notify BMO InvestorLine Inc within 30 days of any change in circumstances that causes the information on this form to become incomplete or inaccurate.

Client Signature

Date (YY/MM/DD)