

# BMO INVESTORLINE SOLE PROPRIETORSHIP ACCOUNT SUPPLEMENTARY FORM

Name of Account \_\_\_\_\_

Account Number: \_\_\_\_\_

Registered Trade Name (If applicable, please provide proof of trade name registration.) \_\_\_\_\_

Does the business (including parent company or subsidiaries, have, or intend to have, operations, or conduct business, outside of Canada or the U.S.?

☐ Yes ☐ No

If yes, please list all countries: \_\_\_\_\_

**Business Operations** include, but are not limited to: any physical presence in a foreign country as evidence by having a product or service facility, an office, a storefront, a sales force, etc.; an account or conduct of business with a foreign financial institution; dealings with a foreign government body or official.

What is the Primary Business Activity of the business listed above? \_\_\_\_\_

The Primary Business Activities – Please list and provide a brief description: \_\_\_\_\_

Does the business listed above (or affiliate) conduct any of the following activities?

Operate as a:

Registered Charity ☐ Please provide your CRA Charity Registration Number \_\_\_\_\_

Not for Profit Organization ☐ Does your organization solicit financial donations from the public?

☐ Yes ☐ No

Operate a Money Service Business?

☐ Yes ☐ No

If yes, do you have an existing account with the BMO Financial Group?

☐ Yes ☐ No

If yes, please provide your account number: \_\_\_\_\_

Operate a Cheque Cashing/Payday Lending/Short Term Lenders Business?

☐ Yes ☐ No

Operate, lease or maintain a White Label Banking Machine?

☐ Yes ☐ No

Buy or sell or deal in Precious Metals and Stones (Cutters/Polishers/Refiners/Smelters/Intermediate Dealers &amp; Brokers/Retailers)?

☐ Yes ☐ No

Operate as a Gambling service provider (Casinos/Sports Betting Operators/Animal Sporting Facilities/Bingo Halls, and establishments with Video Lottery Terminals)- including internet gambling?

☐ Yes ☐ No

Sell used cars, boats or airplanes?

☐ Yes ☐ No

Operate as an Arms Manufacturer, Dealer or Intermediary?

☐ Yes ☐ No

Operate as an exchange/exchanger of Virtual Currency (Users, Network Facilitators, Exchangers, Administrators, Custodians)?

☐ Yes ☐ No

Operate as a Cannabis License Holder/Applicant or Parent Company/Holding Company/Subsidiary of any license holder/applicant?

☐ Yes ☐ No

Operate a Telemarketing/DirectMarketing business (sell goods/services via telephone or the Internet)?

☐ Yes ☐ No

Operate a Pawn broker service?

☐ Yes ☐ No

Operate as a Third Party Payment Processor?

☐ Yes ☐ No

Operate an account for a Foreign Government (e.g. Embassy or Consulate)?

☐ Yes ☐ No

Name of country: \_\_\_\_\_

Operate an account for any of the following formed outside of Canada or U.S.:

Trust, Private Investment Company, or Personal Holding Company?

☐ Yes ☐ No

Name of country: \_\_\_\_\_

Operate a Correspondent Bank?

☐ Yes ☐ No

Operate a Shell Bank?

☐ Yes ☐ No

Operate in Adult Entertainment Industry?

☐ Yes ☐ No

Operate Alternate Money Remittance Systems/Informal Value of Transfer Systems (e.g., Hawala, Hundi, Chitti, Fei Ch'ien, Poey Kuan)?

☐ Yes ☐ No

Operate as Dealer and Intermediaries of High Value Goods (Art/Antiquities/Vintage Alcohol) &amp;/or Auction Houses?

☐ Yes ☐ No

Operate as a Crowdfunding Service Provider?

☐ Yes ☐ No

Operate as a Financial Institution (e.g. A Bank, Central Bank, Credit Union, Insurance or Trust Company, Hedge or Private Equity Fund, Securities Broker Dealer, Mutual Funds or Pension Funds)?

☐ Yes ☐ No

Operate as a Mortgage Broker, Real Estate Developer/Agency/Brokerage?

☐ Yes ☐ No

Operate as a Non-Bank Financial Institution?

☐ Yes ☐ No

Do you operate as an Accounting Firm, Law Firm or British Columbia Notary?

☐ Yes ☐ No

Do you operate as a Ransomware Service Provider?

☐ Yes ☐ No

Do you operate as an unregulated Lender or Businesses involved in Leasing and Financing?

☐ Yes ☐ No

Applicant's  
Signature \_\_\_\_\_

Date  
YY/MM/DD \_\_\_\_\_